

FEB 23 56 PM 1965

REGISTRATION SECTION

UNITED STATES DEPARTMENT OF JUSTICE

WASHINGTON, D. C.

REGISTRATION NO. 508

SUPPLEMENTAL REGISTRATION STATEMENT

Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as Amended

For Six Months Period EndingDecember 31, 1964
(Insert date)

1. (a) Name of Registrant.

Cleary, Gottlieb, Steen & Hamilton

(b) All other names used by Registrant during the period.

(c) Address of principal office.

- (1) 52 Wall Street, New York, N. Y.
(2) 224 Southern Building, Washington, D.C.

(d) Name of person or persons in charge of principal office.

See Annex 1

3. If Registrant is a nonbusiness membership organization, state—

- (a) Approximate number of members in the United States Inapplicable
(b) Approximate number of members outside the United States Inapplicable

4. (a) All persons who became partners, officers, directors, and similar officials of Registrant during the period.

Name and address
of official

Date connection began

Position, office, or nature
of duties

None

(b) All persons who ceased to be partners, officers, directors, or similar officials of Registrant during the period.

Name and address
of official

Date connection ended

Reason for ending
connection

None

5. (a) All branches and local units of Registrant and all other component or affiliated groups or organizations which began to operate during the period.

Name and address of branch, unit,
group, or organization

Nature of connection with
Registrant

Name and address of person
in charge

None

- (b) All branches and local units of Registrant and all other component or affiliated groups or organizations which ceased to operate during the period.

Name of branch, unit, group, or organization

Reason operations ceased

None

6. All persons who at any time during the period were foreign principals of Registrant.

| Name and principal address | Is person still a foreign principal of Registrant? | If not, give date connection ended |
|--|---|---------------------------------------|
| Gov't of the French Republic | Yes | |
| Conseil National du Patronat Francais | Yes | |
| European Coal & Steel Community, Luxembourg | Yes | |
| European Economic Commission, Brussels | Yes | |
| European Atomic Energy Comm., Brussels | Yes | |
| Colonial Sugar Refining Co., Ltd. Sydney, Australia | Yes | |
| Embassy of Japan, Washington, D.C. | Yes | |
| Iran National Airlines, Tehran, Iran | Yes | |

7. Describe fully all activities of Registrant during the period for or in the interests of each foreign principal named under item 6.

See Annex 2

8. Describe briefly all other businesses, occupations, and public activities in which Registrant engaged during the period.

Registrant is a New York partnership engaged in the general practice of law, representing corporate, governmental and private clients in New York, Washington, Paris & Brussels.

9. Furnish the following information as to all employees and other individuals except those named under item 4, who during the period rendered any services or assistance to Registrant, with or without compensation, for or in the interests of any foreign principal named under item 6:

- (a) All such employees and other individuals for whom Short Form Registration Statements (Formerly Exhibits A) have previously been filed.

| <i>Name and address of employee or other individual</i> | <i>Nature of any changes during period in activities for Registrant or its foreign principals</i> | <i>Has connection with Registrant ended?</i> |
|---|---|--|
|---|---|--|

See Annex 3

- (b) All such employees and other individuals for whom Short Form Registration Statements (Formerly Exhibits A) have not been previously filed.

| <i>Name and address of employee or other individual</i> | <i>Nature of services or assistance rendered</i> | <i>Has connection with Registrant ended?</i> |
|---|--|--|
| Carol W. Trencher 52 Wall Street, NYC | Employed as an associate | No |
| Andre W.G. Newburg 52 Wall Street, NYC | Partner | No |
| Ned B. Stiles 52 Wall Street, NYC | Employed as an associate | No |
| James D. Weise 52 Wall Street, NYC | Employed as an associate | No |
| Robert C. Zimmer 52 Wall Street, NYC 224 Southern Bldg., D.C. | Employed as an associate | No |
| Nicholas G. Ciriello 52 Wall Street, NYC | Employed as an associate | Yes |
| Robert E. Rubin 52 Wall Street, NYC | Employed as an associate | No |
| Robert L. Patrick, Jr. 52 Wall Street, NYC | Employed as an associate | No |

10. Furnish the following information as to Registrant's receipts and expenditures during the period covered by this statement. The information may, if Registrant desires, be furnished for Registrant's latest semiannual fiscal period, provided the period covered is indicated and future statements are furnished on the same basis:

- (a) All amounts received during the period directly or indirectly from each foreign principal named under item 6, itemized as follows:

| <i>Date funds received</i> | <i>Name of foreign principal from whom funds received¹</i> | <i>Purposes for which received²</i> | <i>Amount received³</i> |
|----------------------------|---|--|------------------------------------|
|----------------------------|---|--|------------------------------------|

See Annex 4

- (b) All amounts received during the period from other sources to be used directly or indirectly for or in the interests of any foreign principal named under item 6, itemized as follows:⁴

| <i>Date funds received</i> | <i>Name of person from whom received¹</i> | <i>Purposes for which received²</i> | <i>Amount received³</i> |
|----------------------------|--|--|------------------------------------|
| July 15 | Societe Auxiliare de la Regie Autonome des Petroles | Fee and Disbursements | \$4,301.56 |
| August 7 | Aquitaine Oil Corporation | Fee and Disbursements | \$2,214.03 |
| Nov. 3 | Aquitaine Oil Corporation | Fee and Disbursements | \$3,570.45 |

- (c) All expenditures made during the period directly or indirectly for or in the interests of each foreign principal named under item 6, itemized as follows:⁴

| <i>Date payment was made</i> | <i>Name of person to whom payment was made¹</i> | <i>Purposes for which payment was made²</i> | <i>Amount of payment³</i> |
|------------------------------|--|--|--------------------------------------|
|------------------------------|--|--|--------------------------------------|

See Annex 5

¹ Include all amounts so received, whether received as compensation, loans, contributions, subscriptions, fees, dues, subsidies, or otherwise.

² Receipts from or payments to a person amounting to less than \$200 for the period may be combined with other like amounts, provided the source or disposition of the funds, as the case may be, is clearly indicated.

³ Where funds were received or paid, as the case may be, for various purposes, such purposes shall be listed in reasonable detail.

⁴ Show separately the amount received or paid, as the case may be, for each purpose listed under the preceding column.

⁵ Include all transfers of funds to any foreign principal.

11. (a) Speeches, lectures, talks, and radio broadcasts arranged or sponsored by Registrant or delivered by officials or employees of Registrant, during the period.

*Name of person by
whom delivered*

*Number of speeches, lectures,
and talks delivered*

*Number of radio broadcasts
delivered*

None for, on behalf, or in the interests of foreign principals.

- (b) Publications prepared or distributed by Registrant, or by others for Registrant, or in the preparation or distribution of which Registrant rendered any services or assistance, during the period. (Indicate each type of publication by an "X.")

- | | | |
|------------------------------|---|-----------------------------------|
| (1) Press releases | (8) Circulars | (15) Lantern slides |
| (2) News bulletins | (9) Form letters | (16) Still pictures |
| (3) Newspapers | (10) Reprints | (17) Posters |
| (4) Articles | (11) Copies of speeches, lec- tures, talks, or radio broadcasts | (18) Photographs |
| (5) Books | (12) Radio programs | (19) Charts |
| (6) Magazines | (13) Radio scripts | (20) Maps |
| (7) Pamphlets | (14) Moving pictures | (21) Other publications |

- (c) Preparation and distribution of publications referred to in answer to (b) above.

*Description of
publication*

*By whom written, edited,
or prepared*

*By whom printed, produced,
or published*

*By whom
distributed*

Inapplicable

- (d) Compliance with the filing, labeling, and reporting provisions of Section 4 of the Foreign Agents Registration Act of 1938, as amended, and Rules 400-403 thereunder.

- (1) Were copies or summaries of all communications and publications referred to in answer to (a) and (b) above filed with the Department of Justice and the Librarian of Congress? If not, explain why copies or summaries of any such communications and publications were not filed.

Inapplicable

- (2) Were all such communications and publications labeled in accordance with Section 4 and Rules 400-403? If not, explain why any such communications and publications were not so labeled.

Inapplicable

- (3) Were reports of the delivery, distribution, or other dissemination of all such communications and publications made to the Department of Justice in accordance with Section 4 and Rules 400-403? If not, explain why any such reports were omitted.

Inapplicable

12. (a) Any changes during the period, not fully described above, in Registrant's affiliations, associations, or other connections with foreign governments, foreign political parties, or officials or agencies thereof.

*Name of government, party, or official,
or agency thereof*

*Nature of changes during period in Registrant's
connections therewith*

None

- (b) Any changes during the period in Registrant's pecuniary interest in or control over partnerships, corporations, associations, or other organizations or combinations of individuals.

*Name of organization or
combination*

*Nature of changes during period in
Registrant's ownership or other
pecuniary interest*

*Nature of changes during period in any
direction or control exercised
by Registrant*

None

13. (a) Any changes during the period in the ownership of or supervision, direction or control over Registrant by any organization, group, or individual.

*Name of organization, group,
or individual*

*Nature of changes during period in ownership,
supervision, direction, or control*

None

- (b) Any subsidy or other financial assistance received by Registrant during the period directly or indirectly from—

Any individual who is a citizen of, or resides in, a foreign country.

Any organization created in, or under the laws of, any foreign country or having its principal place of business in a foreign country.

Any foreign government or foreign political party, or any official or agency thereof.

*Name of person from whom subsidy or
financial assistance received*

*Nature and amount of subsidy or
financial assistance*

None

14. File the following exhibits with this statement:

Short Form Registration Statement - File a Short Form Registration Statement, on the printed form provided therefor, for each of the following persons for whom a Short Form Registration Statement (formerly Exhibit A) has not previously been filed:

- (a) All partners, officers, directors, and similar officials of Registrant.
- (b) All employees or other individuals who during the period rendered any services or assistance to Registrant, with or without compensation, for or in the interests of any foreign principal named under item 6.

Exhibit B.—File a copy of any changes during the period in the agreement, arrangement, or authorization (or if not in writing a written description thereof) pursuant to which Registrant is acting for, or receiving funds from, each foreign principal named under item 6.

Exhibit C.—File an Exhibit C, on the printed form provided therefor, for each foreign principal named under item 6 for whom an Exhibit C has not previously been filed.

Exhibit D.—If Registrant is a nonbusiness organization, file a copy of any changes during the period in its charter, constitution, bylaws, or other instruments of organization.

Exhibit E.—File a copy of the agreement or arrangement (or if not in writing, a written description thereof) between the Registrant and each business firm or other organization named under item 11 (c), and copies of all changes during the period in similar contracts previously filed.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in Exhibit A insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

Robert C. Barnard
(Signature)

Robert C. Barnard

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths, by a majority of those partners, officers, directors, or persons performing similar functions who are in the United States. If no such person is in the United States, the statement shall be signed and sworn to by the duly authorized representative of the Registrant.)

John K. Mallory, Jr.
(Signature)

John K. Mallory, Jr.

(Signature)

(Signature)

Subscribed and sworn to before me at

Washington, D.C.

this *29th* day of *January*, 19*65*

Mary J. Lintner
(Signature of notary or other officer)

My Commission Expires Dec. 31, 1968

My commission expires _____, 19____

FEB 2 3 56 PM 1965

ANNEX 1

Name of Person or Persons in Charge of Principal Office

George E. Cleary

Leo Gottlieb

Melvin C. Steen

Fowler Hamilton

Thomas J. Kiernan

Lyman M. Tondel, Jr.

John F. Ward

Robert C. Barnard

James G. Johnson, Jr.

Robert W. Wales

Richard H. Moore

John J. B. Shea

Jerome E. Hyman

Joseph Morgan

John K. Mallory, Jr.

William L. Lynch

Edmund H. Kerr

Mark W. Frawley, Jr.

George E. DeSipio

James W. Lamberton

Andre W. G. Newburg

Richard B. Webster

James C. Blair

Walter S. Rothchild

ANNEX 2

DESCRIPTION OF ACTIVITIES OF REGISTRANT FOR EACH FOREIGN PRINCIPAL NAMED UNDER ITEM 6

1. Government of the French Republic

Registrant acts as counsel to the French Financial Counselor, the French Commercial Counselor, and the French Controller, who are representatives of the Government of the French Republic. In this capacity, during the period the registrant advised these officers with respect to American legislative proposals and administrative acts and proposals which affected French trade and French financial and commercial interests. Registrant also advised these officers with respect to ordinary legal matters such as contracts, leases, taxes and estates.

Registrant also acts as counsel to the French Air, Naval and Military Attaches, who are officers of the Government of the French Republic. In this capacity, during the period the registrant advised these officers with respect to contracts in which these officers were interested and also with respect to various laws and ordinances affecting the operation of their mission.

During the period, registrant also rendered services to the Aquitaine Oil Corporation, a subsidiary of the Societe Nationale des Petroles d'Aquitaine, in connection with a commercial investment in the United States and related corporate matters.

2. Conseil National du Patronat Francais

Registrant acts as counsel to Conseil National du Patronat Francais. Registrant advised the Conseil's related association, Comite France Actuelle with respect to legal matters relating to letters, contracts and the publication of the newsletter, "France Actuelle."

3. European Coal and Steel Community

Registrant acts as counsel to the High Authority of the European Coal and Steel Community. In this capacity, during the period partners and associates of the registrant advised members and staff of the High Authority, as well as other officials of the European Coal and Steel Community, with respect to American legislative and administrative acts and proposals affecting trade between Europe and the United States. Also during the period, registrant furnished general legal advice to the United States Information Office of the Community with respect to ordinary legal matters, such as taxes, pension plans and leases.

ANNEX 2 (CONT'D)

4. European Economic Community Commission

Registrant acts as counsel to the Commission of the European Economic Community. In this capacity, during the period partners and associates of the registrant advised members and staff of the Commission, as well as other officials of the Commission, with respect to American legislative and administrative acts and proposals affecting trade between Europe and the United States, private investment abroad, financing, and other subjects of interest. Also during the period, registrant furnished general legal advice to the United States Information Office of the Community with respect to ordinary legal matters such as taxes, pension plans and leases.

5. European Atomic Energy Community Commission

Registrant acts as counsel to the Commission of the European Atomic Energy Community. In this capacity, during the period partners and associates of the registrant advised members and staff of the Commission, as well as the Community's representative in the United States with respect to tax matters and American legislative and administrative acts and proposals affecting the European atomic energy program and the Community's joint programs with the United States. Also during the period, registrant furnished general legal advice to the United States Information Office of the Commission, with respect to ordinary legal matters such as taxes, pension plans and leases.

6. Colonial Sugar Refining Company, Ltd.

Registrant acts as counsel to Colonial Sugar Refining Co., Ltd. In this capacity, during the period partners and associates of the registrant advised the company with respect to legal problems involving the Sugar Act and its administration, as well as with respect to American legislative and administrative acts and proposals affecting the United States sugar market.

7. Embassy of Japan

Registrant worked on the preparation of research reports for the Embassy with respect to American legislation affecting commercial and financial matters and with respect to foreign investment in Canada.

8. Iran National Airlines Corp.

During the period partners and associates of the registrant acted as counsel for this corporation in prosecuting a suit in the United States Court of Claims.

ANNEX 3

| Name and Address of Employee or Other Individual | Nature of any changes during period in activi- ties for registrant or its foreign principals | Has connec- tion with registrant ended? |
|---|---|--|
| Lyman M. Tondel 52 Wall Street, New York 5, N.Y. | None | No |
| Robert C. Barnard 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5, D.C. | None | No |
| James G. Johnson, Jr. 52 Wall Street, New York 5, N.Y. | None | No |
| Robert W. Wales 52 Wall Street, New York 5, N.Y. | None | No |
| John K. Mallory, Jr. 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C. | None | No |
| Walter S. Rothchild 52 Wall Street, New York 5, N.Y. | None | No |
| Jan M. Z. Kaczmarek 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C. | Transferred to Paris Office | No |
| Robert Michael Duncan 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C. | None | No |
| George V. Egge, Jr. 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C. | None | No |
| Roland S. Homet, Jr. 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C. | None | No |
| Manley O. Hudson 52 Wall Street, New York 5, N.Y. | None | No |
| Richard C. Pugh 52 Wall Street, New York 5, N.Y. | None | No |
| Stephen L. Dinces 52 Wall Street, New York 5, N.Y. | None | No |
| Donald L. Morgan 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C. | None | No |
| William Y. Sayad, Jr. 52 Wall Street, New York 5, N.Y. | None | No |

ANNEX 3 (CONT'D)

| | | |
|--|--|------------------------|
| Albert J. Beveridge, III 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C. | None | No |
| Thomas H. Hagoort 52 Wall Street, New York 5, N.Y. | None | No |
| Robert C. Zimmer 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C. | None | No |
| David L. Chambers III 52 Wall Street, New York 5, N.Y. Southern Building, Washington 5,D.C. | None | No |
| Clifford J. Hynning 1903-5 N Street, N.W. Washington 6, D.C. | None | No* |
| Melvin C. Steen 52 Wall Street, New York 5, N.Y. | Rendered no services on behalf of foreign principal during the period | No |
| Thomas J. Kiernan 52 Wall Street, New York 5, N.Y. | Ditto | No |
| John F. Ward 52 Wall Street, New York 5, N.Y. | Ditto | No |
| James W. Lamberton 52 Wall Street, New York 5, N.Y. | Ditto | No |
| Robert S. Ehrlich 52 Wall Street, New York 5, N.Y. | Ditto | No |
| Sedgwick W. Green 52 Wall Street, New York 5, N.Y. | Ditto | No |
| J. S. Carroll 52 Wall Street, New York 5, N.Y. | Ditto | On leave of absence |
| Edmund H. Kerr 52 Wall Street, New York 5, N.Y. | Ditto | No |
| Morton M. Winston 52 Wall Street, New York 5, N.Y. 224 Southern Building, Washington 5,D.C. | Ditto | No |
| Dudley H. Chapman 52 Wall Street, New York 5, N.Y. | Ditto | No |

*Mr. Hynning has previously filed a separate registration statement.

ANNEX 4

| Date Funds Received | Name of foreign principal from whom funds received | Purposes for which received | Amount received |
|---------------------|--|-----------------------------|-----------------|
| July 29 | French Government | Fee & Disbursements | \$1,554.59 |
| Aug. 6 | French Government | Fee & Disbursements | \$2,006.41 |
| Dec. 24 | French Government | Fee & Disbursements | \$2,008.53 |
| Dec. 31 | French Government | Fee & Disbursements | \$1,550.06 |
| Nov. 16 | Conseil National du Patronat | Fee & Disbursements | \$7,500.00 |
| July-Dec. | Conseil National du Patronat | Disbursements | \$1,320.00 |
| Aug. 3 | European Atomic Energy Commission | Fee & Disbursements | \$4,557.94 |
| July 23 | Colonial Sugar Refining Company | Fee & Disbursements | \$2,716.33 |
| Sept. 2 | Colonial Sugar Refining Company | Fee & Disbursements | \$2,787.37 |
| Nov. 2 | Colonial Sugar Refining Company | Fee & Disbursements | \$2,330.37 |
| Sept. 1 | Iranian National Airlines | Fee | \$1,000.00 |
| Oct. 15 | Iranian National Airlines | Fee | \$ 500.00 |

ANNEX 5

| Date Payment was Made | Name of Person to Whom Payment was Made | Purpose for Which Payment was Made | Amount of Payment |
|--|---|--|----------------------|
| <u>FRENCH REPUBLIC</u> | | | |
| July 1 - Dec. 31, '64 | Various persons in New York and Washington | Supplies, business services, trans- portation, postage, cables, tolls, etc. | \$ 47.24 |
| <u>SOCIETE NATIONALE DES PETROLES D'AQUITAINE & OTHERS</u> | | | |
| July 1 - Dec. 31, '64 | Various persons in New York and Washington | Supplies, business services, trans- portation, postage, cables, tolls, etc. | \$ 214.55 |
| Aug. 24 | National Industrial Conference Board, New York | Subscription to Research Services | \$ 450.00 |
| <u>CONSEIL NATIONAL DU PATRONAT</u> | | | |
| July 1 - Dec. 31, '64 | Various persons in Washington | Supplies, business services, trans- portation, postage, cables, tolls, etc. | \$ 17.50 |
| July 1 - Dec. 31, '64 | Smoot Sand & Gravel Co. Washington | Rent, electricity (Southern Bldg.) | \$1,320.00 |
| <u>EUROPEAN COAL & STEEL COMMUNITY</u> | | | |
| July 1 - Dec. 31, '64 | Various persons in New York and Washington | Supplies, business services, trans- portation, postage, cables, tolls, etc. | \$ 42.81 |
| <u>EUROPEAN ECONOMIC COMMUNITY</u> | | | |
| July 1 - Dec. 31, '64 | Various persons in New York and Washington | Supplies, business services, trans- portation, postage, cables, tolls, etc. | \$ 10.34 |
| <u>EUROPEAN ATOMIC ENERGY COMMISSION</u> | | | |
| July 1 - Dec. 31, '64 | Various persons in New York and Washington | Supplies, business services, trans- portation, postage, cables, tolls, etc. | \$ 29.54 |

ANNEX 5 (CONT'D)

COLONIAL SUGAR REFINING CO.
LTD.

| | | | |
|--------------------------|--|---|-----------|
| July 1 - Dec. 31, '64 | Various persons in Washington | Supplies, business services, transportation, postage, cables, tolls, etc. | \$ 350.67 |
| July 1 - Dec. 31, '64 | American Cable & Radio Corp. Washington, D.C. | Cables | \$ 758.20 |
| July 1 - Dec. 31, '64 | Western Union Washington, D.C. | Telex messages | \$ 381.94 |

EMBASSY OF JAPAN

| | | | |
|--------------------------|--|---|-----------|
| July 1 - Dec. 31, '64 | Various persons in New York and Washington | Supplies, business services, transportation, postage, cables, tolls, etc. | \$ 255.10 |
|--------------------------|--|---|-----------|

IRANIAN NATIONAL AIRLINES

| | | | |
|--------------------------|-------------------------------|---|----------|
| July 1 - Dec. 31, '64 | Various persons in Washington | Supplies, business services, transportation, postage, cables, tolls, etc. | \$ 71.12 |
|--------------------------|-------------------------------|---|----------|